

Medallion Signature Guarantee Waiver Requirements

FOR TRANSFERS OF \$10,000 OR LESS, THE MEDALLION SIGNATURE GUARANTEE MAY BE WAIVED IF THE FOLLOWING REQUIREMENTS ARE MET AND DOCUMENTS ARE SUBMITTED IN GOOD ORDER. AVAILABLE FOR US RESIDENTS ONLY.

Check payable to EQ: Under \$5,000 total transfer value - \$100.00.
\$5,000 to \$10,000 total transfer value - \$200.00

TRANSFER TYPE	REQUIREMENTS
NAME CHANGE (single owner or joint account)	☐ Signatures of all registered owners on the Stock Power Form. ☐ Check payable to EQ (see above) ☐ Copy of valid government-issued photo identification with a signature, such as a driver's license or a passport. ☐ Proof of name change: certified copy of the marriage certificate, divorce decree, or certified copy of the court order for a complete name change.
DECEASED OWNER (single owner or joint account)	☐ Signatures of all registered owners on the Stock Power Form. <i>If registered owner(s) is/are deceased, a legal representative (executor, administrator, or personal representative) may sign if they state legal capacity with their signature.</i> ☐ Check payable to EQ (see above) ☐ Copy of valid government-issued photo identification with a signature, such as a driver's license or a passport. ☐ Copy of death certificate(s). ☐ Inheritance Tax Waiver (if required by the state the decedent lived). ☐ Certificate of Appointment dated within 90 days (6 mos NY, 1 year CT) (or Small Estate Affidavit of the state in which the shareholder was domiciled).
TRUSTEE(S), CO- TRUSTEE(S), OR SUCCESSOR TRUSTEE(S)	☐ Signatures of all registered owners on the Stock Power Form. <i>If registered owner(s) is/are deceased, a legal representative may sign if they state legal capacity with their signature.</i> ☐ Check payable to EQ (see above) ☐ Copy of valid government-issued photo identification with a signature, such as a driver's license or a passport. ☐ Copy of death certificate (transfer due to death of grantor/co-trustee). ☐ Certified copy of Trustee Amendment (transfer due to death or resignation of trustee).
MINOR HAS REACHED AGE OF MAJORITY	☐ Signatures of all registered owners on the Stock Power Form. ☐ Check payable to EQ (see above) ☐ Copy of valid government-issued photo identification such as a driver's license, passport, or military ID showing proof the minor has reached age of majority, with a signature.
TRANSFER BY TOD BENEFICIARY	☐ Signatures of all registered owners on the Stock Power Form. <i>If registered owner(s) is/are deceased, a legal representative (executor, administrator, or personal representative) may sign if they state legal capacity with their signature.</i> ☐ Check payable to EQ (see above) ☐ Copy of valid government-issued photo identification with a signature, such as a driver's license or a passport. ☐ Copy of death certificate(s). ☐ Inheritance Tax Waiver (if required by the state the decedent lived).
GENERAL TRANSFER FOR REGISTERED OWNER	☐ Signatures of all registered owners on the Stock Power Form. ☐ Check payable to EQ (see above) ☐ Copy of valid government-issued photo identification with a signature, such as a driver's license, passport, or military ID

Note: If your transfer type is not listed, your transfer may still be eligible for medallion signature guarantee waiver. Please submit your signed Stock Power Form along with a check payable to EQ (see above), copy of valid government-issued photo ID, and supporting documentation; we will review your request on a case-by-case basis.